

031204

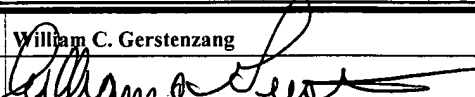
16562 U.S. PTO

PTO/SB/05 (06-03)

Approved for use through 07/31/2003. OMB 0651-0032

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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| UTILITY PATENT APPLICATION TRANSMITTAL | | Attorney Docket No. | New Objective 204-WCG |
|--|----------------------------|--|--------------------------------------|
| (Only for new nonprovisional applications under 37 CFR 1.53(b)) | | First Inventor | Gary Valaskovic |
| | | Title | METHOD AND APPARATUS FOR FEEDBACK... |
| | | Express Mail Label | EV 383033461 US |
| APPLICATION ELEMENTS <small>See MPEP chapter 600 concerning utility patent application contents.</small> | | ADDRESS TO: Mail Stop Patent Application Commissioner for Patents P. O. Box 1450 Alexandria VA 22313-1450 | |
| <div>1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g., PTO/SB/17) <i>(Submit an original, and a duplicate for fee processing)</i></div> <div>2. <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27.</div> <div>3. <input checked="" type="checkbox"/> Specification [Total Pages 76] <i>(preferred arrangement set forth below)</i><ul style="list-style-type: none">- Descriptive title of the invention- Cross Reference to Related Applications- Statement Regarding Fed sponsored R & D- Reference to sequence listing, a table, or a computer program listing appendix- Background of the Invention- Brief Summary of the Invention- Brief Description of the Drawings <i>(if filed)</i>- Detailed Description- Claim(s)- Abstract of the Disclosure</div> <div>4. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) [Total Sheets 33]</div> <div>5. Oath or Declaration [Total Pages 4]<div style="margin-left: 20px;"><div>a. <input type="checkbox"/> Newly executed (original or copy)</div><div>b. <input checked="" type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) <i>(for continuation/divisional with Box 18 completed)</i><div style="margin-left: 20px;"><div>i. <input type="checkbox"/> DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).</div></div></div></div><div>6. <input checked="" type="checkbox"/> Application Data Sheet. See 37 CFR 1.76</div></div> | | <div>7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program <i>(Appendix)</i></div> <div>8. Nucleotide and/or Amino Acid Sequence Submission <i>(if applicable, all necessary)</i><div style="margin-left: 20px;"><div>a. <input type="checkbox"/> Computer Readable Form (CRF)</div><div>b. Specification Sequence Listing on:<div style="margin-left: 20px;"><div>i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or</div><div>ii. <input type="checkbox"/> Paper</div></div></div><div>c. <input type="checkbox"/> Statements verifying identity of above copies</div></div></div> | |
| | | ACCOMPANYING APPLICATION PARTS | |
| | | <div>9. <input type="checkbox"/> Assignment Papers (cover sheet & document(s))</div> <div>10. <input type="checkbox"/> 37 CFR 3.73(b) Statement <input type="checkbox"/> Power of Attorney <i>(when there is an assignee)</i></div> <div>11. <input type="checkbox"/> English Translation Document <i>(if applicable)</i></div> <div>12. <input checked="" type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input checked="" type="checkbox"/> Copies of IDS Citations</div> <div>13. <input checked="" type="checkbox"/> Preliminary Amendment</div> <div>14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) <i>(Should be specifically itemized)</i></div> <div>15. <input type="checkbox"/> Certified Copy of Priority Document(s) <i>(if foreign priority is claimed)</i></div> <div>16. <input type="checkbox"/> Nonpublication Request under 35 U.S.C. 122 <i>(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.</i></div> <div>17. <input checked="" type="checkbox"/> Other: Express Mail Certificate Letter to the Official Draftsperson</div> | |
| <div>18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:<div style="margin-left: 20px;"><div><input type="checkbox"/> Continuation</div><div><input checked="" type="checkbox"/> Divisional</div><div><input type="checkbox"/> Continuation-in-part (CIP) of prior application No.: 10/154,767</div></div><div style="margin-left: 20px;"><div>Prior application information: Examiner <u>Kalimah FERNANDEZ</u> Group / Art Unit: <u>2881</u></div><div>For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation <u>can only</u> be relied upon when a portion has been inadvertently omitted from the submitted application parts.</div></div></div> | | | |
| 19. CORRESPONDENCE ADDRESS | | | |
| <div><input checked="" type="checkbox"/> Customer Number: 27386 or <input type="checkbox"/> Correspondence address below</div> | | | |
| Name | William C. Gerstenzang | | |
| | Norris McLaughlin & Marcus | | |
| Address | 220 East 42nd Street | | |
| | 30th Floor | | |
| City | New York | State | NY |
| | | Zip Code | 10017 |
| Country | USA | Telephone | 212-808-0700 |
| | | Fax | 212-808-0844 |
| Name (Print/Type) | | Registration No. (Attorney/Agent) | |
| Signature  | | 27,552 | |
| | | Date | March 12, 2004 |

This collection of information is required by 37 CFR 1.53(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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FEE TRANSMITTAL for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) \$770.00

Complete if Known

| | |
|----------------------|------------------------------------|
| Application Number | To be assigned (DIV of 10/154,767) |
| Filing Date | Herewith |
| First Named Inventor | Gary Valaskovic |
| Examiner Name | To be assigned |
| Art Unit | To be assigned |
| Attorney Docket No. | New Objective 204-WCG |

METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None

☒ Deposit Account:

Deposit Account Number

14-1263

Deposit Account Name

Norris McLaughlin & Marcus

The Director is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☒ Credit any overpayments

☐ Charge any additional fee(s) or any underpayment of fee(s)

☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE

| Large Entity | | Small Entity | | Fee Description | Fee Paid |
|--------------|----------|--------------|----------|------------------------|----------|
| Fee Code | Fee (\$) | Fee Code | Fee (\$) | | |
| 1001 | 770 | 2001 | 385 | Utility filing fee | 770.00 |
| 1002 | 340 | 2002 | 170 | Design filing fee | |
| 1003 | 530 | 2003 | 265 | Plant filing fee | |
| 1004 | 770 | 2004 | 385 | Reissue filing fee | |
| 1005 | 160 | 2005 | 80 | Provisional filing fee | |
| SUBTOTAL (1) | | | | | (\$) |

2. EXTRA CLAIM FEES FOR UTILITY AND

| | | Extra Claims | | Fee from below | | Fee Paid |
|--------------------|---------------------------------|--------------|--------------------------------|----------------|-------------------------------|-------------------------------------|
| Total Claims | <input type="text" value="10"/> | -20** = | <input type="text" value="0"/> | X | <input type="text" value=""/> | = <input type="text" value="0.00"/> |
| Independent Claims | <input type="text" value=""/> | - 3** = | <input type="text" value="0"/> | X | <input type="text" value=""/> | = <input type="text" value="0.00"/> |
| Multiple Dependent | <input type="text" value=""/> | | | | <input type="text" value=""/> | = <input type="text" value=""/> |

| Large Entity | | Small Entity | | Fee Description |
|--------------|----------|--------------|----------|--|
| Fee Code | Fee (\$) | Fee Code | Fee (\$) | |
| 1202 | 18 | 2202 | 9 | Claims in excess of 20 |
| 1201 | 86 | 2201 | 43 | Independent claims in excess of 3 |
| 1203 | 290 | 2203 | 145 | Multiple dependent claim, if not paid |
| 1204 | 86 | 2204 | 43 | ** Reissue independent claims over original patent |
| 1205 | 18 | 2205 | 9 | ** Reissue claims in excess of 20 and over original patent |

SUBTOTAL (2) (\$) \$0.00

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

| Large Entity | | Small Entity | | Fee Description | Fee Paid |
|--------------|----------|--------------|----------|--|----------|
| Fee Code | Fee (\$) | Fee Code | Fee (\$) | | |
| 1051 | 130 | 2051 | 65 | Surcharge - late filing fee or oath | |
| 1052 | 50 | 2052 | 25 | Surcharge - late provisional filing fee or cover sheet | |
| 1053 | 130 | 1053 | 130 | Non - English specification | |
| 1812 | 2,520 | 1812 | 2,520 | For filing a request for ex parte reexamination | |
| 1804 | 920* | 1804 | 920* | Requesting publication of SIR prior to Examiner action | |
| 1805 | 1,840* | 1805 | 1,840* | Requesting publication of SIR after Examiner action | |
| 1251 | 110 | 2251 | 55 | Extension for reply within first month | |
| 1252 | 420 | 2252 | 210 | Extension for reply within second month | |
| 1253 | 950 | 2253 | 475 | Extension for reply within third month | |
| 1254 | 1,480 | 2254 | 740 | Extension for reply within fourth month | |
| 1255 | 2,010 | 2255 | 1,005 | Extension for reply within fifth month | |
| 1401 | 330 | 2401 | 165 | Notice of Appeal | |
| 1402 | 330 | 2402 | 165 | Filing a brief in support of an appeal | |
| 1403 | 290 | 2403 | 145 | Request for oral hearing | |
| 1451 | 1,510 | 1451 | 1,510 | Petition to institute a public use proceeding | |
| 1452 | 110 | 2452 | 55 | Petition to revive - unavoidable | |
| 1453 | 1,330 | 2453 | 665 | Petition to revive - unintentional | |
| 1501 | 1,330 | 2501 | 665 | Utility issue fee (or reissue) | |
| 1502 | 480 | 2502 | 240 | Design issue fee | |
| 1503 | 640 | 2503 | 320 | Plant issue fee | |
| 1460 | 130 | 1460 | 130 | Petitions to the Commissioner | |
| 1807 | 50 | 1807 | 50 | Processing fee under 37 CFR § 1.17(q) | |
| 1806 | 180 | 1806 | 180 | Submission of Information Disclosure Statement | |
| 8021 | 40 | 8021 | 40 | Recording each patent assignment per property (times number of properties) | |
| 1809 | 770 | 2809 | 385 | Filing a submission after final rejection (37 CFR § 1.129(a)) | |
| 1810 | 770 | 2810 | 385 | For each additional invention to be examined (37 CFR § 1.129(b)) | |
| 1801 | 770 | 2801 | 385 | Request for Continued Examination (RCE) | |
| 1802 | 900 | 1802 | 900 | Request for expedited examination of a design application | |

Other fee (specify) _____

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$) _____

SUBMITTED BY

| | | | | | |
|-------------------|---|-----------------------------------|----------------|-----------|--------------|
| Name (Print/Type) | William C. Gerstenzang | Registration No. (Attorney/Agent) | 27,552 | Telephone | 212-808-0700 |
| Signature |  | Date | March 12, 2004 | | |

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VIA EXPRESS MAIL, Label No. EV 383033461 US
Date of Deposit: March 12, 2004

Attorney Docket No. : New Objective 204.1-WCG

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) : Gary Valaskovic et al
For : METHOD FOR FEEDBACK CONTROLLED
ELECTROSPRAY

- Division of -

Serial No. : 10/154,767
Filed : May 24, 2002
For : METHOD AND APPARATUS FOR FEEDBACK
CONTROLLED ELECTROSPRAY
Art Unit : 2881
Examiner : Kalimah FERNANDEZ

March 12, 2004

MAIL STOP PATENT APPLICATION
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450


Sir:

LETTER TO THE OFFICIAL DRAFTSMAN

Submitted herewith for entry and approval are thirty-three (33) sheets of formal drawings (FIGS. 1-29) in the above-referenced application.

Respectfully submitted,

NORRIS, McLAUGHLIN & MARCUS, P.A.

By 
William C. Gerstenzang
Reg. No. 27,552

WCG:jh

Encl: 33 sheets of formal drawings
(FIGS. 1-29)

220 East 42nd Street - 30th Floor
New York, New York 10017
(212) 808-0700

I hereby certify that this correspondence is being deposited with sufficient postage with the United States Postal Services as Express Mail, Label No. EV 383033461 US in an envelope addressed to: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on March 12, 2004.

By 
Julie Harting
Date March 12, 2004

This is a Divisional Application of U.S. Serial No. 10/154,767

APPLICANTS: Gary Valaskovic
Mike S. Lee

TITLE: METHOD FOR FEEDBACK CONTROLLED ELECTROSPRAY

EXPRESS MAIL CERTIFICATE

"Express Mail" mailing label number EV 383033461 US

Date of Deposit : March 12, 2004

I hereby certify that the following items:

1. Fee Transmittal form (in duplicate) - **CHARGE DEPOSIT ACCOUNT**
2. Utility Patent Application Transmittal
3. Specification (pages 1-64), Claims (pages 64-75), Abstract (page 76)
4. copy of Executed Combined Declaration and Power of Attorney
5. Preliminary Amendment
6. Information Disclosure Statement w/ German Search Report, form 1449 and 17 references
7. Letter to the Official Draftsperson, w/ 33 sheets formal drawings
8. Application Data Sheet
9. Certificate of Mailing by Express Mail
10. Self-Addressed Postcard

are being deposited with the United States Postal Services "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the: MAIL STOP PATENT APPLICATION, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

By Julie Harting
Julie Harting